

Quality of Execution, LLC

Product Pricing:

Trade SentrySM and related services

Full Trade Sentry Service, including SEC 11 Ac1-5 Tables for Market Centers
SEC 11Ac1-5 reporting, Real-Time Order Monitoring, Due Diligence Memo Database, Full Power-Query Access, Contrast and Compare Tool, Time and Sales Locator.*
.04 cents per covered order, \$3,000 per month minimum.

Individual Trade Sentry Services

Real-Time Order Monitoring: *Includes emailed alerts and exception reports, firm specific web access to summaries and statistical tables.*
0.04 cents per covered order, \$1000 per month minimum.

End-of-Day Order Monitoring: *Includes end-of-day emailed alerts and exception reports, firm specific web access to summaries and statistical tables.*
0.04 cents per covered share, \$500 per month minimum.

Due Diligence Memo Database: *Allows you to record your due diligence efforts and to retrieve the records based on your search criteria.*
0.04 cents per share, \$300 per month minimum.

Time and Sales Locator*: *An integrated, web-based, real-time database of NBBO, trades, and definitive time stamps. Covers all listed equities and options and all NASDAQ securities. Makes research and documentation of problem trades a simple matter. Information is saved for access when required.* \$300 per month.

Power-Query Statistical Reporting Tool: *Allows you to search, rank, select, and report on the many statistics that Trade Sentry maintains about your orders and trade executions. Permits your order and compliance desks to build a complete picture of your order handling process. Provides insight into problem areas and at the same time indicates where your performance is superior.* \$500 per month.

11Ac1-5 Contrast & Compare Tool: *Allows you to rank the performance of various market centers according to criteria you select. A comprehensive tool that makes the most out of the SEC data.* \$500 per month.

SEC 11Ac1-5 reporting: *Quality of Execution LLC will prepare the required monthly report and post it on a public website.* \$500 per month.

SEC 11Ac1-6 reporting: *Quality of Execution LLC will prepare the required quarterly report and post it on a public website.* \$200 per month.

* Exchange fees may apply